Authentication Plugins

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Stackable Authentication Method(s)

Since many institutions and organizations have existing authentication systems, DSpace has been designed to allow these to be easily integrated into an existing authentication infrastructure. It keeps a series, or "stack", of authentication methods, so each one can be tried in turn. This makes it easy to add new authentication methods or rearrange the order without changing any existing code. You can also share authentication code with other sites.

<table>
<thead>
<tr>
<th>Configuration File:</th>
<th>[dspace]/config/modules/authentication.cfg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>plugin.sequence.org.dspace.authenticate.AuthenticationMethod</td>
</tr>
</tbody>
</table>

The configuration property `plugin.sequence.org.dspace.authenticate.AuthenticationMethod` defines the authentication stack. It is a comma-separated list of class names. Each of these classes implements a different authentication method or way of determining the identity of the user. They are invoked in the order specified until one succeeds.

Existing Authentication Methods include

- **Authentication by Password** (class: `org.dspace.authenticate.PasswordAuthentication`) (DEFAULT)
- **Shibboleth Authentication** (class: `org.dspace.authenticate.ShibAuthentication`)
- **LDAP Authentication** (class: `org.dspace.authenticate.LDAPAuthentication`)
- **IP Address based Authentication** (class: `org.dspace.authenticate.IPAuthentication`)
- **X.509 Certificate Authentication** (class: `org.dspace.authenticate.X509Authentication`)

An authentication method is a class that implements the interface `org.dspace.authenticate.AuthenticationMethod`. It authenticates a user by evaluating the credentials (e.g. username and password) he or she presents and checking that they are valid.

The basic authentication procedure in the DSpace Web UI is this:

1. A request is received from an end-user's browser that, if fulfilled, would lead to an action requiring authorization taking place.
2. If the end-user is already authenticated:
   - If the end-user is allowed to perform the action, the action proceeds
   - If the end-user is NOT allowed to perform the action, an authorization error is displayed.
   - If the end-user is NOT authenticated, i.e. is accessing DSpace anonymously:
3. The parameters etc. of the request are stored.
4. The Web UI's `startAuthentication` method is invoked.
5. First it tries all the authentication methods which do implicit authentication (i.e. they work with just the information already in the Web request, such as an X.509 client certificate). If one of these succeeds, it proceeds from Step 2 above.

6. If none of the implicit methods succeed, the UI responds by putting up a "login" page to collect credentials for one of the explicit authentication methods in the stack. The servlet processing that page then gives the proffered credentials to each authentication method in turn until one succeeds, at which point it retries the original operation from Step 2 above.

Please see the source files AuthenticationManager.java and AuthenticationMethod.java for more details about this mechanism.

### Authentication by Password

#### Enabling Authentication by Password

By default, this authentication method is enabled in DSpace.

However, to enable Authentication by Password, you must ensure the `org.dspace.authenticate.PasswordAuthentication` class is listed as one of the AuthenticationMethods in the following configuration:

<table>
<thead>
<tr>
<th>Configuration File</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>plugin.sequence.org.dspace.authenticate.AuthenticationMethod</td>
</tr>
</tbody>
</table>

#### Configuring Authentication by Password

The default method `org.dspace.authenticate.PasswordAuthentication` has the following properties:

- Use of inbuilt e-mail address/password-based log-in. This is achieved by forwarding a request that is attempting an action requiring authorization to the password log-in servlet, `/password-login`. The password log-in servlet (`org.dspace.app.webui.servlet.PasswordServlet`) contains code that will resume the original request if authentication is successful, as per step 3. described above.

- Users can register themselves (i.e. add themselves as e-people without needing approval from the administrators), and can set their own passwords when they do this.

- Users are not members of any special (dynamic) e-person groups

- You can restrict the domains from which new users are able to register. To enable this feature, uncomment the following line from `dspace.cfg`:

  ```
  authentication.password.domain.valid = example.com
  ```

  Example options might be 'example.com' to restrict registration to users with addresses ending in @example.com, or 'example.com, .ac.uk' to restrict registration to users with addresses ending in @example.com or with addresses in the .ac.uk domain.

A full list of all available Password Authentication Configurations:

<table>
<thead>
<tr>
<th>Configuration File</th>
<th>[dspace]/config/modules/authentication-password.cfg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>authentication-password.domain.valid</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-password.domain.value = @mit.edu, .ac.uk</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>This option allows you to limit self-registration to email addresses ending in a particular domain value. The above example would limit self-registration to individuals with &quot;@mit.edu&quot; email addresses and all &quot;.ac.uk&quot; email addresses.</td>
</tr>
<tr>
<td>Property:</td>
<td>authentication-password.login.specialgroup</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-password.login.specialgroup = My DSpace Group</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>This option allows you to automatically add all password authenticated user sessions to a specific DSpace Group (the group must exist in DSpace) for the remainder of their logged in session.</td>
</tr>
<tr>
<td>Property:</td>
<td>authentication-password.digestAlgorithm</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-password.digestAlgorithm = SHA-512</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>This option specifies the hashing algorithm to be used in converting plain-text passwords to more secure password digests. The example value is the default. You may select any digest algorithm available through java.security.MessageDigest on your system. At least MD2, MD5, SHA-1, SHA-256, SHA-384, and SHA-512 should be available, but you may have installed others. Most sites will not need to adjust this.</td>
</tr>
</tbody>
</table>

### Open ID Connect (OIDC) Authentication

Open ID Connect (OIDC) Authentication is only available in DSpace 7.2 or above.
Enabling OIDC Authentication

To enable OIDC Authentication, you must ensure the `org.dspace.authenticate.OidcAuthentication` class is listed as one of the AuthenticationMethods in the following configuration:

<table>
<thead>
<tr>
<th>Configuration File:</th>
<th>[dspace]/config/modules/authentication.cfg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>plugin.sequence.org.dspace.authenticate.AuthenticationMethod</td>
</tr>
</tbody>
</table>

(Note: This setting may be repeated to support multiple AuthenticationMethods)

(WARNING: It's easy to miss, the "camel case" for `OidcAuthentication` might catch you off guard. It's important to *not* use OIDC Authentication in this line, because that class does not exist. Case matters.)

Configuring OIDC Authentication

OpenID Connect is an identity layer on top of the OAuth 2.0 protocol. It allows Clients to verify the identity of the End-User based on the authentication performed by an Authorization Server, as well as to obtain basic profile information about the End-User in an interoperable and REST-like manner. There are many server implementations of OpenID Connect, including Keycloak and AWS Cognito.

<table>
<thead>
<tr>
<th>Configuration File:</th>
<th>[dspace]/config/modules/authentication-oidc.cfg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>authentication-oidc.auth-server-url</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-oidc.auth-server-url = <a href="https://auth.example.com">https://auth.example.com</a></td>
</tr>
<tr>
<td>Informational Note:</td>
<td>(Optional) The root URL of the OpenID Connect server. This is optional, as it's only used to fill out each of the &quot;-endpoint&quot; configs below (see below). So, for some setups, it may be easier to configure the &quot;-endpoint&quot; configs directly INSTEAD OF the &quot;auth-server-url&quot; and &quot;auth-server-realm&quot;</td>
</tr>
</tbody>
</table>

| Property:           | authentication-oidc.auth-server-realm |
| Example Value:      | authentication-oidc.auth-server-realm = dspace-realm |
| Informational Note: | (Optional) The realm to authenticate against on the OpenID Connect server. This is optional, as it's only used to fill out each of the "-endpoint" configs below (see below). So, for some setups, it may be easier to configure the "-endpoint" configs directly INSTEAD OF the "auth-server-url" and "auth-server-realm" |

| Property:           | authentication-oidc.token-endpoint |
| Example Value:      | authentication-oidc.token-endpoint = ${authentication-oidc.auth-server-url}/auth/realms/${authentication-oidc.auth-server-realm}/protocol/openid-connect/token |
| Informational Note: | (Required) The URL of the OIDC Token endpoint. This defaults to using the configured "auth-server-url" and "auth-server-realm" to determine the likely OIDC path for this endpoint (see example above for the default value). However, if that default path is incorrect, you may choose to hardcode the correct URL in this field. |

| Property:           | authentication-oidc.authorize-endpoint |
| Example Value:      | authentication-oidc.authorize-endpoint = ${authentication-oidc.auth-server-url}/auth/realms/${authentication-oidc.auth-server-realm}/protocol/openid-connect/auth |
| Informational Note: | (Required) The URL of the OIDC Authorize endpoint. This defaults to using the configured "auth-server-url" and "auth-server-realm" to determine the likely OIDC path for this endpoint (see example above for the default value). However, if that default path is incorrect, you may choose to hardcode the correct URL in this field. |

| Property:           | authentication-oidc.user-info-endpoint |
| Example Value:      | authentication-oidc.user-info-endpoint = ${authentication-oidc.auth-server-url}/auth/realms/${authentication-oidc.auth-server-realm}/protocol/openid-connect/userinfo |
| Informational Note: | (Required) The URL of the OIDC Userinfo endpoint. This defaults to using the configured "auth-server-url" and "auth-server-realm" to determine the likely OIDC path for this endpoint (see example above for the default value). However, if that default path is incorrect, you may choose to hardcode the correct URL in this field. |

| Property:           | authentication-oidc.client-id |
Example Value: | authentication-oidc.client-id = our-dspace

Informational Note: (Required) The registered OIDC client id for our DSpace server's use. No default value.

Property:

Example Value: | authentication-oidc.client-secret = some-sort-of-hash

Informational Note: (Required) The registered OIDC client secret for our DSpace server's use. No default value.

Property:

Example Value: | authentication-oidc.redirect-url = ${dspace.server.url}/api/authn/oid

Informational Note: The URL users will be redirected to after a successful login. The example above is the default value, and it usually does not need to be updated.

Property:

Example Value: | authentication-oidc.scopes = openid,email,profile

Informational Note: The scopes to request from the OIDC server. The example above is the default value.

Property:

Example Value: | authentication-oidc.can-self-register = true

Informational Note: Specify if the user can self register using OIDC (true/false). If not specified, true is assumed.

If this is set to false, then only users with an existing EPerson in DSpace will be able to authenticate through OIDC. When set to true, an EPerson will be automatically created for each person who successfully authenticates through OIDC.

Property:

Example Value: | authentication-oidc.user-info.email = email

Informational Note: Specify the attribute present in the user info json related to the user's email. The default value is "email"

Property:

Example Value: | authentication-oidc.user-info.first-name = given_name

Informational Note: Specify the attribute present in the user info json related to the user's first/given name. The default value is "given_name"

Property:

Example Value: | authentication-oidc.user-info.last-name = family_name

Informational Note: Specify the attribute present in the user info json related to the user's last/family name. The default value is "family_name"

Sample/Test OIDC Configuration

One way to easily test OIDC Authentication is to use the PhantAuth test site at [https://www.phantauth.net/](https://www.phantauth.net/). This site allows you to create a random OIDC client & a random OIDC user to authenticate as. So, it can be used to verify that DSpace's OIDC authentication is working in your system, but obviously is only meant for development/testing purposes.

To configure DSpace to use PhantAuth for authentication just requires the following updates to your local.cfg:

```
local.cfg updates for PhantAuth

# Enable OIDC
plugin.sequence.org.dspace.authenticate.AuthenticationMethod = org.dspace.authenticate.OidcAuthentication

# Settings for OIDC authentication
# Based on instructions at [https://www.phantauth.net/doc/integration](https://www.phantauth.net/doc/integration)
authentication-oidc.authorize-endpoint = https://phantauth.net/auth/authorize
authentication-oidc.token-endpoint = https://phantauth.net/auth/token
authentication-oidc.user-info-endpoint = https://phantauth.net/auth/userinfo

# Obtain a random client-id and client-secret via [https://phantauth.net/client](https://phantauth.net/client)
# Find the "client_id" and "client_secret" returned, and place those values in these next two configs.
```
Shibboleth Authentication

Enabling Shibboleth Authentication

To enable Shibboleth Authentication, you must ensure the `org.dspace.authenticate.ShibAuthentication` class is listed as one of the `AuthenticationMethods` in the following configuration:

<table>
<thead>
<tr>
<th>Configuration File:</th>
<th>[dspace]/config/modules/authentication.cfg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>plugin.sequence.org.dspace.authenticate.AuthenticationMethod</td>
</tr>
<tr>
<td>Example Value:</td>
<td>plugin.sequence.org.dspace.authenticate.AuthenticationMethod = org.dspace.authenticate.ShibAuthentication</td>
</tr>
</tbody>
</table>

(Note: This setting may be repeated to support multiple AuthenticationMethods)

Configuring Shibboleth Authentication

Shibboleth is a distributed authentication system for securely authenticating users and passing attributes about the user from one or more identity providers. In the Shibboleth terminology DSpace is a Service Provider which receives authentication information and then based upon that provides a service to the user. To use Shibboleth, DSpace requires that you use Apache installed with the mod_shib module acting as a proxy for all HTTP requests for your servlet container (typically Tomcat). DSpace will receive authentication information from the mod_shib module through HTTP headers.

Before DSpace will work with Shibboleth, you must have the following:

1. An Apache web server with the "mod_shib" module installed. As mentioned, this mod_shib module acts as a proxy for all HTTP requests for your servlet container (typically Tomcat). Any requests to DSpace that require authentication via Shibboleth should be redirected to 'shibd' (the shibboleth daemon) by this "mod_shib" module. Details on installing/configuring mod_shib in Apache are available at: https://wiki.shibboleth.net/confluence/display/SHIB2/NativeSPApacheConfig  We also have a sample Apache + mod_shib configuration provided below.
2. An external Shibboleth IdP (Identity Provider). Using mod_shib, DSpace will only act as a Shibboleth SP (Service Provider). The actual Shibboleth Authentication & Identity information must be provided by an external IdP. If you are using Shibboleth at your institution already, then there already should be a Shibboleth IdP available. More information about Shibboleth IdPs versus SPs is available at: https://wiki.shibboleth.net/confluence/display/SHIB2/UnderstandingShibboleth

For more information on installing and configuring a Shibboleth Service Provider see: https://wiki.shibboleth.net/confluence/display/SHIB2/Installation

Note about Shibboleth Active vs Lazy Sessions:

When configuring your Shibboleth Service Provider there are two Shibboleth paradigms you may use: Active or Lazy Sessions. Active sessions is where the mod_shib module is configured to produce an entire URL space. No one will be able to access that URL without first authenticating with Shibboleth. Using this method you will need to configure shibboleth to protect the URL: "shibboleth-login". The alternative, Lazy Session does not protect any specific URL. Instead Apache will allow access to any URL, and when the application wants to it may initiate an authenticated session.

The Lazy Session method is preferable for most DSpace installations, as you usually want to provide public access to (most) DSpace content, while restricting access to only particular areas (e.g. administration UI/tools, private Items, etc.). When Active Sessions are enabled your entire DSpace site will be access restricted. In other words, when using Active Sessions, Shibboleth will require everyone to first authenticate before they can access any part of your repository (which essentially results in a "dark archive", as anonymous access will not be allowed).

Apache "mod_shib" Configuration (required)

As mentioned above, you must have Apache with the "mod_shib" module installed in order for DSpace to be able to act as a Shibboleth Service Provider (SP). The mod_shib module acts as a proxy for all HTTP requests for your servlet container (typically Tomcat). Any requests to DSpace that require authentication via Shibboleth should be redirected to 'shibd' (the shibboleth daemon) by this "mod_shib" module. Details on installing/configuring mod_shib in Apache are available at: https://wiki.shibboleth.net/confluence/display/SHIB2/NativeSPApacheConfig  General information about installing/configuring Shibboleth Service Providers (SPs) can be found at: https://wiki.shibboleth.net/confluence/display/SHIB2/Installation

A few extra notes/hints when configuring mod_shib & Apache:

- In Debian based environments, "mod_shib" tends to be in a package named something like "libapache2-mod-shib2"
- The Shibboleth setting "ShibUseHeaders" is no longer required to be set to "On", as DSpace will correctly utilize attributes instead of headers.
When "ShibUseHeaders" is set to "Off" (which is recommended in the mod_shib documentation), proper configuration of Apache to pass attributes to Tomcat (via either mod_jk or mod_proxy) can be a bit tricky. SWITCH has some great documentation on exactly what you need to do. We will eventually paraphrase/summarize this documentation here, but for now, the SWITCH page will have to do.

When initially setting up Apache & mod_shib, [https://samltest.id/](https://samltest.id/) provides a great testing ground for your configurations. This site provides a sample/demo Shibboleth IdP (as well as a sample Shibboleth SP) which you can test against. It acts as a "sandbox" to get your configurations working properly, before you point DSpace at your production Shibboleth IdP.

You also may wish to review the Shibboleth setup in our "dspace-shibboleth" Docker setup which the development team uses for testing (and it uses [https://samltest.id](https://samltest.id) as the IdP). It may provide you with good examples/hints on getting everything setup. However, keep in mind this code has not been tested in Production scenarios.

Below, we have provided a sample Apache configuration. However, as every institution has their own specific Apache setup/configuration, it is highly likely that you will need to tweak this configuration in order to get it working properly. Again, see the official mod_shib documentation for much more detail about each of these settings: [https://wiki.shibboleth.net/confluence/display/SHIB2/NativeSPApacheConfig](https://wiki.shibboleth.net/confluence/display/SHIB2/NativeSPApacheConfig) These configurations are meant to be added to an Apache <VirtualHost> which acts as a proxy to your Tomcat (or other servlet container) running DSpace. More information on Apache VirtualHost settings can be found at: [https://httpd.apache.org/docs/2.2/vhosts/](https://httpd.apache.org/docs/2.2/vhosts/)

```text
### SAMPLE MOD_SHIB CONFIGURATION FOR APACHE2 (it may require local modifications based on your Apache setup)
####
# While this sample VirtualHost is for HTTPS requests (recommended for Shibboleth, obviously),
# you may also need/want to create one for HTTP (*:80)
<VirtualHost *:443>
  ...
  # PLEASE NOTE: We have omitted many Apache settings (ServerName, LogLevel, SSLCertificateFile, etc)
  # which you may need/want to add to your VirtualHost

  # As long as Shibboleth module is installed, enable all Shibboleth/mod_shib related settings
  <IfModule mod_shib>
    # Shibboleth recommends turning on UseCanonicalName
    # See "Prepping Apache" in [https://wiki.shibboleth.net/confluence/display/SHIB2/NativeSPApacheConfig](https://wiki.shibboleth.net/confluence/display/SHIB2/NativeSPApacheConfig)
    UseCanonicalName On

    # Most DSpace instances will want to use Shibboleth "Lazy Session", which ensures that users
    # can access DSpace without first authenticating via Shibboleth.
    # This section turns on Shibboleth "Lazy Session". Also ensures that once they have authenticated
    # (by accessing /Shibboleth.sso/Login path), then their Shib session is kept alive
    <Location />
      AuthType shibboleth
      ShibRequireSession Off
      require shibboleth
      # If your "shibboleth2.xml" file specifies an <ApplicationOverride> setting for your
      # DSpace Service Provider, then you may need to tell Apache which "id" to redirect Shib requests to.
      # Just uncomment this and change the value "my-dspace-id" to the associated @id attribute value.
      # ShibRequestSetting applicationId my-dspace-id
    </Location>

    # If a user attempts to access the DSpace shibboleth endpoint, force them to authenticate via Shib.
    <Location */server/api/authn/shibboleth">
      Order deny,allow
      Allow from all
      AuthType shibboleth
      ShibRequireSession On
      # Please note that setting ShibUseHeaders to "On" is a potential security risk.
      # You may wish to set it to "Off". See the mod_shib docs for details about this setting:
      # [https://wiki.shibboleth.net/confluence/display/SHIB2/NativeSPApacheConfig#NativeSPApacheConfig-AuthConfigOptions](https://wiki.shibboleth.net/confluence/display/SHIB2/NativeSPApacheConfig#NativeSPApacheConfig-AuthConfigOptions)
      # Here's a good guide to configuring Apache + Tomcat when this setting is "Off":
      # [https://www.switch.ch/de/aa/support/serviceproviders/sp-access-rules.html#javaapplications](https://www.switch.ch/de/aa/support/serviceproviders/sp-access-rules.html#javaapplications)
      ShibUseHeaders On
      Require shibboleth
    </Location>

    # If a user attempts to access the DSpace login endpoint, ensure Shibboleth is supported but other auth
    # methods can be too.
    <Location */server/api/authn/login>
      Order deny,allow
      Allow from all
      AuthType shibboleth
      ShibRequireSession Off
      # For DSpace, this is required to be off otherwise the available auth methods will be not visible
      ShibUseHeaders On
      # Please note that setting ShibUseHeaders to "On" is a potential security risk.
      # You may wish to set it to "Off". See the mod_shib docs for details about this setting:
```
# https://wiki.shibboleth.net/confluence/display/SHIB2/NativeSPApacheConfig#NativeSPApacheConfig-AuthConfigOptions

Here's a good guide to configuring Apache + Tomcat when this setting is "Off":

https://www.switch.ch/de/aai/support/serviceproviders/sp-access-rules.html#javaapplications

ShibUseHeaders On
</Location>

# Ensure /Shibboleth.sso path (in Apache) can be accessed
# By default it may be inaccessible if your Apache security is tight.
<Location "/Shibboleth.sso">
Order deny,allow
Allow from all
# Also ensure Shibboleth/mod_shib responds to this path
SetHandler shib
</Location>

# Finally, you may need to ensure requests to /Shibboleth.sso are NOT redirected
# to Tomcat (as they need to be handled by mod_shib instead).
# NOTE: THIS SETTING IS LIKELY ONLY NEEDED IF YOU ARE USING mod_proxy TO REDIRECT
# ALL REQUESTS TO TOMCAT (e.g. ProxyPass /server ajp://localhost:8009/server)
ProxyPass /Shibboleth.sso !
</IfModule>

# You will likely need Proxy settings to ensure Apache is proxying requests to Tomcat for the DSpace REST API
# The below is just an example of proxying for REST API only. It requires installing & enabling "mod_proxy"
and "mod_proxy_ajp"
## Proxy / Forwarding Settings ##
<Proxy *
  AddDefaultCharset Off
  Order allow,deny
  Allow from all
</Proxy>

# Proxy all requests to /server to Tomcat via AJP
ProxyPass /server ajp://localhost:8009/server
ProxyPassReverse /server ajp://localhost:8009/server

# Optionally, also proxy Angular UI (if on same server). This requires "mod_proxy_http"
#ProxyPass / http://localhost:4000/
#ProxyPassReverse / http://localhost:4000/
</VirtualHost>

---

Sample shibboleth2.xml Configuration

In addition, here's a sample "ApplicationOverride" configuration for "shibboleth2.xml". This particular "ApplicationOverride" is configured to use the Test IdP provided by https://samltest.id/ and is just meant as an example. In order to enable it for testing purposes, you must specify ShibRequestSetting applicationId samltest in your Apache mod_shib configuration (see above). An additional, more detailed example is provided in our "dspace-shibboleth" Docker configurations at https://github.com/DSpace/DSpace/blob/main/dspace/shibboleth/shibboleth2.xml

<!-- *** Sample Shibboleth Settings for https://samltest.id/ *** -->
<!-- This provides a simple example of how you could configure -->
<!-- shibboleth2.xml for DSpace sites. -->
<!-- TO ENABLE: You'd need to specify "applicationId" as "samltest" in -->
<!-- your mod_shib settings, e.g. -->
<!-- <Location /> -->
<!-- ... -->
<!-- ShibRequestSetting applicationId samltest -->
<!-- </Location> -->

<ApplicationOverride id="samltest" entityID="http://[mydspace.edu]/shibboleth" REMOTE_USER="eppn persistent-id targeted-id">
Sample attribute-map.xml Configuration (for samltest.id)

In order to use the above example for https://samltest.id/, you may also need to modify your attribute-map.xml to support their attributes. Again, a more complete example is in our "dspace-shibboleth" Docker configurations at https://github.com/DSpace/DSpace/blob/main/dspace/src/main/docker/dspace-shibboleth/attribute-map.xml

```xml
<Attributes xmlns="urn:mace:shibboleth:2.0:attribute-map" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <!-- Custom Attributes specific to samltest.id -->
  <Attribute name="urn:oid:0.9.2342.19200300.100.1.1" id="uid"/>
  <Attribute name="urn:oid:0.9.2342.19200300.100.1.3" id="mail"/>
  <Attribute name="urn:oid:2.5.4.4" id="sn"/>
  <Attribute name="urn:oid:2.16.840.1.113730.3.1.241" id="displayName"/>
  <Attribute name="urn:oid:2.5.4.20" id="telephoneNumber"/>
  <Attribute name="urn:oid:2.5.4.42" id="givenName"/>
  <Attribute name="https://samltest.id/attributes/role" id="role"/>

  <!-- In addition to the attribute mapping, DSpace expects the following Shibboleth Headers to be set: -->
  - SHIB-NETID
  - SHIB-MAIL
  - SHIB-GIVENNAME
  - SHIB-SURNAME

  These are set by mapping the respective IdP attribute (left hand side) to the header attribute (right hand side).
  -->
  <Attribute name="urn:oid:0.9.2342.19200300.100.1.1" id="SHIB-NETID"/>
  <Attribute name="urn:mace:dir:attribute-def:uid" id="SHIB-NETID"/>
  <Attribute name="urn:oid:0.9.2342.19200300.100.1.3" id="SHIB-MAIL"/>
  <Attribute name="urn:mace:dir:attribute-def:mail" id="SHIB-MAIL"/>
  <Attribute name="urn:oid:2.5.4.42" id="SHIB-GIVENNAME"/>
  <Attribute name="urn:mace:dir:attribute-def:givenName" id="SHIB-GIVENNAME"/>
  <Attribute name="urn:oid:2.5.4.4" id="SHIB-SURNAME"/>
  <Attribute name="urn:mace:dir:attribute-def:sn" id="SHIB-SURNAME"/>
</Attributes>
```

DSpace Shibboleth Configuration Options

**Authentication Methods:**

DSpace supports authentication using NetID, or email address. A user's NetID is a unique identifier from the IdP that identifies a particular user. The NetID can be of almost any form such as a unique integer, string, or with Shibboleth 2.0 you can use "targeted ids". You will need to coordinate with your shibboleth federation or identity provider. There are three ways to supply identity information to DSpace:

1) **NetID from Shibboleth Header** (best)
The NetID-based method is superior because users may change their email address with the identity provider. When this happens DSpace will not be able to associate their new address with their old account.

2) Email address from Shibboleth Header (okay)

In the case where a NetID header is not available or not found DSpace will fall back to identifying a user based-upon their email address.

3) Tomcat's Remote User (worst)

In the event that neither Shibboleth headers are found then as a last resort DSpace will look at Tomcat's remote user field. This is the least attractive option because Tomcat has no way to supply additional attributes about a user. Because of this the autoregister option is not supported if this method is used.

Identity Scheme Migration Strategies:

If you are currently using Email based authentication (either 1 or 2) and want to upgrade to NetID based authentication then there is an easy path. Simply enable shibboleth to pass the NetID attribute and set the netid-header below to the correct value. When a user attempts to log in to DSpace first DSpace will look for an EPerson with the passed NetID, however when this fails DSpace will fall back to email based authentication. Then DSpace will update the user's EPerson account record to set their NetID so all future authentications for this user will be based upon NetID. One thing to note is that DSpace will prevent an account from switching NetIDs. If an account already has a NetID set and then they try and authenticate with a different NetID the authentication will fail.

EPerson Metadata:

One of the primary benefits of using Shibboleth based authentication is receiving additional attributes about users such as their names, telephone numbers, and possibly their academic department or graduation semester if desired. DSpace treats the first and last name attributes differently because they (along with email address) are the three pieces of minimal information required to create a new user account. For both first and last name supply direct mappings to the Shibboleth headers. In addition to the first and last name DSpace supports other metadata fields such as phone, or really anything you want to store on an eperson object. Beyond the phone field, which is accessible in the user's profile screen, none of these additional metadata fields will be used by DSpace out-of-the box. However if you develop any local modification you may access these attributes from the EPerson object. The Vireo ETD workflow system utilizes this to aid students when submitting an ETD.

Role-based Groups:

DSpace is able to place users into pre-defined groups based upon values received from Shibboleth. Using this option you can place all faculty members into a DSpace group when the correct affiliation's attribute is provided. When DSpace does this they are considered 'special groups', these are really groups but the user's membership within these groups is not recorded in the database. Each time a user authenticates they are automatically placed within the pre-defined DSpace group, so if the user loses their affiliation then the next time they login they will no longer be in the group.

Depending upon the shibboleth attributed use in the role-header it may be scoped. Scoped is Shibboleth terminology for identifying where an attribute originated from. For example a students affiliation may be encoded as "student@tamu.edu". The part after the @ sign is the scope, and the preceding value is the value. You may use the whole value or only the value or scope. Using this you could generate a role for students and one institution different than students at another institution. Or if you turn on ignore-scope you could ignore the institution and place all students into one group.

The values extracted (a user may have multiple roles) will be used to look up which groups to place the user into. The groups are defined as "authentication-shibboleth.role.<role-name>" which is a comma separated list of DSpace groups.

Having issues getting Safari working?

In addition to the below settings, you may need to ensure your Shibboleth IdP is trusted by the DSpace backend by adding it to your rest.cors.allowed-origins configuration. This is required for Safari web browsers to work with DSpace's Shibboleth plugin.

For example, if your IdP is https://samltest.id/, then you need to append that URL to the comma-separated list of "allowed-origins" like:

```
rest.cors.allowed-origins = ${dspace.ui.url}, https://samtest.id
```

More information on this configuration can be found in the REST API documentation.

<table>
<thead>
<tr>
<th>Configuration File</th>
<th>[dspace]/config/modules/authentication-shibboleth.cfg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>authentication-shibboleth.lazysession</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-shibboleth.lazysession = true</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>Whether to use lazy sessions or active sessions. For more DSpace instances, you likely want to use lazy sessions. Active sessions will force every user to authenticate via Shibboleth before they can access your DSpace (essentially resulting in a &quot;dark archive&quot;).</td>
</tr>
<tr>
<td>Property:</td>
<td>authentication-shibboleth.lazysession.loginurl</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-shibboleth.lazysession.loginurl = /Shibboleth.sso/Login</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>The url to start a shibboleth session (only for lazy sessions). Generally this setting will be &quot;/Shibboleth.sso/Login&quot;</td>
</tr>
<tr>
<td>Property</td>
<td>Example Value</td>
</tr>
<tr>
<td>----------------------------------------------</td>
<td>----------------------------------------------------</td>
</tr>
<tr>
<td><code>lazysession.secure</code></td>
<td><code>true</code></td>
</tr>
<tr>
<td><code>netid-header</code></td>
<td><code>SHIB-NETID</code></td>
</tr>
<tr>
<td><code>email-header</code></td>
<td><code>SHIB-MAIL</code></td>
</tr>
<tr>
<td><code>email-use-tomcat-remote-user</code></td>
<td><code>false</code></td>
</tr>
<tr>
<td><code>reconvert.attributes</code></td>
<td><code>false</code></td>
</tr>
<tr>
<td><code>autoregister</code></td>
<td><code>true</code></td>
</tr>
<tr>
<td><code>sword.compatibility</code></td>
<td><code>false</code></td>
</tr>
<tr>
<td><code>firstname-header</code></td>
<td><code>SHIB_GIVENNAME</code></td>
</tr>
<tr>
<td><code>lastname-header</code></td>
<td><code>SHIB_SN</code></td>
</tr>
<tr>
<td><code>eperson.metadata</code></td>
<td></td>
</tr>
</tbody>
</table>
**Informational Note:** Additional user attributes mapping, multiple attributes may be stored for each user. The left side is the Shibboleth-based metadata Header and the right side is the eperson metadata field to map the attribute to.

<table>
<thead>
<tr>
<th>Property</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>authentication-shibboleth.eperson.metadata.autocreate</code></td>
<td><code>true</code></td>
</tr>
</tbody>
</table>

**Informational Note:** If the eperson metadata field is not found, should it be automatically created?

<table>
<thead>
<tr>
<th>Property</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>authentication-shibboleth.role-header</code></td>
<td><code>SHIB_SCOPED_AFFILIATION</code></td>
</tr>
</tbody>
</table>

**Informational Note:** The shibboleth header to do role-based mappings (see section on roll based mapping section above)

<table>
<thead>
<tr>
<th>Property</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>authentication-shibboleth.role-header.ignore-scope</code></td>
<td><code>true</code></td>
</tr>
</tbody>
</table>

**Informational Note:** Weather to ignore the attribute's scope (everything after the `@` sign for scoped attributes)

<table>
<thead>
<tr>
<th>Property</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>authentication-shibboleth.role-header.ignore-value</code></td>
<td><code>false</code></td>
</tr>
</tbody>
</table>

**Informational Note:** Weather to ignore the attribute's value (everything before the `@` sign for scoped attributes)

<table>
<thead>
<tr>
<th>Property</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>authentication-shibboleth.role.[affiliation-attribute]</code></td>
<td><code>Faculty, Member</code></td>
</tr>
</tbody>
</table>

**Informational Note:** Mapping of affiliation values to DSpace groups. See the “Role-based Groups” section above for more info.

**LDAP Authentication**

**Introduction to LDAP specific terminology**

If you are unfamiliar with LDAP, the following introduction to some of its terminology might come in handy:


**Enabling LDAP Authentication**

To enable LDAP Authentication, you must ensure the `org.dspace.authenticate.LDAPAuthentication` class is listed one of the `AuthenticationMethod` in the following configuration:

<table>
<thead>
<tr>
<th>Configuration File</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>[dspace]/config/modules/authentication.cfg</code></td>
<td><code>plugin.sequence.org.dspace.authenticate.AuthenticationMethod</code></td>
</tr>
</tbody>
</table>

**Example Value:**

```properties
plugin.sequence.org.dspace.authenticate.AuthenticationMethod = org.dspace.authenticate.LDAPAuthentication
```
Configuring LDAP Authentication

If LDAP is enabled, then new users will be able to register by entering their username and password without being sent the registration token. If users do not have a username and password, then they can still register and login with just their email address the same way they do now.

If you want to give any special privileges to LDAP users, create a stackable authentication method to automatically put people who have a netid into a special group. You might also want to give certain email addresses special privileges. Refer to the Custom Authentication Code section below for more information about how to do this.

Ensure required commas are escaped in LDAP configuration

**NOTE:** As of DSpace 6, commas (,) are now a special character in the Configuration system. As some LDAP configuration may contain commas, you must be careful to escape any required commas by adding a backslash (\) before each comma, e.g. "\,\". The configuration reference for authentication-ldap.cfg has been updated below with additional examples.

Here is an explanation of each of the different LDAP configuration parameters:

<table>
<thead>
<tr>
<th>Configuration File:</th>
<th>[dspace]/config/modules/authentication-ldap.cfg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>authentication-ldap.enable</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.enable = false</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>This setting will enable or disable LDAP authentication in DSpace. With the setting off, users will be required to register and login with their email address. With this setting on, users will be able to login and register with their LDAP user ids and passwords.</td>
</tr>
<tr>
<td>Property:</td>
<td>authentication-ldap.autoregister</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.autoregister = true</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>This will turn LDAP autoregistration on or off. With this on, a new EPerson object will be created for any user who successfully authenticates against the LDAP server when they first login. With this setting off, the user must first register to get an EPerson object by entering their ldap username and password and filling out the forms.</td>
</tr>
<tr>
<td>Property:</td>
<td>authentication-ldap.provider_url</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.provider_url = ldap://ldap.myu.edu/o=myu.edu, ou=mydept</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>This is the url to your institution's LDAP server. You may or may not need the /o=myu.edu part at the end. Your server may also require the ldaps:// protocol. (This field has no default value)</td>
</tr>
<tr>
<td>Informational Note:</td>
<td><strong>NOTE:</strong> As of DSpace 6, commas (,) are now a special character in the Configuration system. Therefore, be careful to escape any required commas in this configuration by adding a backslash () before each comma, e.g. &quot;,&quot;</td>
</tr>
<tr>
<td>Property:</td>
<td>authentication-ldap.starttls</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.starttls = false</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>Should we issue StartTLS after establishing TCP connection in order to initiate an encrypted connection? Note: This (TLS) is different from LDAPS:</td>
</tr>
<tr>
<td></td>
<td>• TLS is a tunnel for plain LDAP and is typically recognized on the same port (standard LDAP port: 389)</td>
</tr>
<tr>
<td></td>
<td>• LDAPS is a separate protocol, deprecated in favor of the standard TLS method. (standard LDAPS port: 636)</td>
</tr>
<tr>
<td>Property:</td>
<td>authentication-ldap.id_field</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.id_field = uid</td>
</tr>
<tr>
<td>Explanation:</td>
<td>This is the unique identifier field in the LDAP directory where the username is stored. (This field has no default value)</td>
</tr>
<tr>
<td>Property:</td>
<td>authentication-ldap.object_context</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.object_context = ou=people, o=myu.edu</td>
</tr>
<tr>
<td>Informational Note:</td>
<td>This is the LDAP object context to use when authenticating the user. By default, DSpace will use this value to create the user's DN in order to attempt to authenticate them. It is appended to the id_field and username. For example uid=username, ou=people, o=myu.edu. You will need to modify this to match your LDAP configuration. (This field has no default value)</td>
</tr>
</tbody>
</table>

If your users do NOT all exist under a single "object_context" in LDAP, then you should ignore this setting and INSTEAD use the Hierarchical LDAP Authentication settings below (especially see "search.user" or "search.anonymous")
**NOTE:** As of DSpace 6, commas (,) are now a special character in the Configuration system. Therefore, be careful to escape any required commas in this configuration by adding a backslash (\) before each comma, e.g. "\".

<table>
<thead>
<tr>
<th>Property:</th>
<th>authentication-ldap.search_context</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.search_context = ou=people</td>
</tr>
</tbody>
</table>

**Informational Note:**
This is the search context used when looking up a user's LDAP object to retrieve their data for autoregistering. With autoregister=true, when a user authenticates without an EPerson object we search the LDAP directory to get their name (id_field) and email address (email_field) so that we can create one for them. So after we have authenticated against *uid=username, ou=people, o=byu.edu* we now search in ou=people for filtering on \[uid=username\]. Often the search_context is the same as the object_context parameter. But again this depends on your LDAP server configuration.

**NOTE:** As of DSpace 6, commas (,) are now a special character in the Configuration system. Therefore, be careful to escape any required commas in this configuration by adding a backslash (\) before each comma, e.g. "\".

<table>
<thead>
<tr>
<th>Property:</th>
<th>authentication-ldap.email_field</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.email_field = mail</td>
</tr>
</tbody>
</table>

**Informational Note:**
This is the LDAP object field where the user's email address is stored. "mail" is the most common for LDAP servers. (This field has no default value)

If the "email_field" is unspecified, or the user has no email address in LDAP, his/her username (id_field value) will be saved as the email in DSpace (or appended to netid_email_domain, when specified)

<table>
<thead>
<tr>
<th>Property:</th>
<th>authentication-ldap.netid_email_domain</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.netid_email_domain = @example.com</td>
</tr>
</tbody>
</table>

**Informational Note:**
If your LDAP server does not hold an email address for a user (i.e. no email_field), you can use the following field to specify your email domain. This value is appended to the netid (id_field) in order to make an email address (which is then stored in the DSpace EPerson). For example, a netid of 'user' and netid_email_domain as @example.com would set the email of the user to be user@example.com

*Please note: this field will only be used if "email_field" is unspecified OR the user in question has no email address stored in LDAP. If both "email_field" and "netid_email_domain" are unspecified, then the "id_field" will be used as the email address.*

<table>
<thead>
<tr>
<th>Property:</th>
<th>authentication-ldap.surname_field</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.surname_field = sn</td>
</tr>
</tbody>
</table>

**Informational Note:**
This is the LDAP object field where the user's last name is stored. "sn" is the most common for LDAP servers. If the field is not found the field will be left blank in the new eperson object. (This field has no default value)

<table>
<thead>
<tr>
<th>Property:</th>
<th>authentication-ldap.givenname_field</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.givenname_field = givenName</td>
</tr>
</tbody>
</table>

**Informational Note:**
This is the LDAP object field where the user's given names are stored. I'm not sure how common the givenName field is in different LDAP instances. If the field is not found the field will be left blank in the new eperson object. (This field has no default value)

<table>
<thead>
<tr>
<th>Property:</th>
<th>authentication-ldap.phone_field</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.phone_field = telephoneNumber</td>
</tr>
</tbody>
</table>

**Informational Note:**
This is the field where the user's phone number is stored in the LDAP directory. If the field is not found the field will be left blank in the new eperson object. (This field has no default value)

<table>
<thead>
<tr>
<th>Property:</th>
<th>authentication-ldap.login.specialgroup</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.login.specialgroup = group-name</td>
</tr>
</tbody>
</table>

**Informational Note:**
If specified, all user sessions successfully logged in via LDAP will automatically become members of this DSpace Group (for the remainder of their current, logged in session). This DSpace Group must already exist (it will not be automatically created). This is useful if you want a DSpace Group made up of all internal authenticated users. This DSpace Group can then be used to bestow special permissions on any users who have authenticated via LDAP (e.g. you could allow anyone authenticated via LDAP to view special, on campus only collections or similar).

<table>
<thead>
<tr>
<th>Property:</th>
<th>login.groupmap.*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.login.groupmap.1 = ou=Students:ALL_STUDENTS authentication-ldap.login.groupmap.2 = ou=Employees:ALL_EMPLOYEES</td>
</tr>
</tbody>
</table>
Informational Note: The left part of the value (before the ":") must correspond to a portion of a user's DN (unless "login.group.attribute" is specified...please see below). The right part of the value corresponds to the name of an existing DSpace group.

For example, if the authenticated user's DN in LDAP is in the following form:

```
cn=jdoe,OU=Students,OU=Users,dc=example,dc=edu
```

that user would get assigned to the ALL_STUDENTS DSpace group for the remainder of their current session.

However, if that same user later graduates and is employed by the university, their DN in LDAP may change to:

```
cn=jdoe,OU=Employees,OU=Users,dc=example,dc=edu
```

Upon logging into DSpace after that DN change, the authenticated user would now be assigned to the ALL_EMPLOYEES DSpace group for the remainder of their current session.

Note: This option can be used independently from the login.specialgroup option, which will put all LDAP users into a single DSpace group. Both options may be used together.

Property: `authentication-ldap.login.groupmap.attribute`

Example Value: `authentication-ldap.login.groupmap.attribute = group`

Informational Note: The value of the "authentication-ldap.login.groupmap.attribute" should specify the name of a single LDAP attribute. If this property is uncommented, it changes the meaning of the left part of "authentication-ldap.login.groupmap.*" (see above) as follows:

- If the authenticated user has this LDAP attribute, look up the value of this LDAP attribute in the left part (before the ":") of the attribute's value
- If that LDAP value is found in any "authentication-ldap.login.groupmap.*" field, assign this authenticated user to the DSpace Group specified by the right part (after the ":") of the attribute's value.

For example:

```
* authentication-ldap.login.groupmap.attribute = group
* authentication-ldap.login.groupmap.1 = mathematics:Mathematics_Group
```

The above would ensure that any authenticated users where their LDAP "group" attribute equals "mathematics" would be added to the DSpace Group named "Mathematics_Group" for the remainder of their current session. However, if that same user logged in later with a new LDAP "group" value of "computer science", he/she would no longer be a member of the "Mathematics_Group" in DSpace.

Debugging LDAP connection and configuration

As every LDAP is different, configuring your DSpace to communicate with your LDAP can sometimes be a challenge. We recommend using third-party LDAP tools to test your LDAP connection / username / password, and perform sample searches to better understand what information is being returned from your local LDAP. This will help ensure that LDAP configuration goes more smoothly.

One example of such an LDAP tool is the `ldapsearch` command-line tool available in most Linux operating systems (e.g. in Debian / Ubuntu it's available in the "ldap-utils" package). Below are some example `ldapsearch` commands that can be used to determine (and/or debug) specific configurations in your `authentication-ldap.cfg`. In the below examples, we've used the names of specific DSpace configurations as placeholders (in square brackets).

```bash
# Basic anonymous connection (for VERBOSE, add `-v`) ldapsearch -x -H [provider_url]

# Debug a connection error (add `-d-1`) # If you are connecting to an LDAPS URL and see connection errors (e.g. "peer cert untrusted or revoked") # then see below note about "SSL Connection Errors"
ldapsearch -x -H [provider_url] -d-1

# Attempt to connect to [provider_url] as [search.user] (will prompt for search.user's password) # This doesn't actually perform a query, just ensures that authentication is working # NOTE: "search.user" is USUALLY either the full user DN (e.g. "cn=dspaceadmin,ou=people,o=myu.edu") # or "DOMAIN\USERNAME" (e.g. "MYU\DSpaceUser"). The latter is more likely with Windows Active Directory ldapsearch -x -H [provider_url] -D [search.user] -W

# Attempt to list the first 100 users in a given [search_context], returning the "cn", "mail" and "sn" fields for each ldapsearch -x -H [provider_url] -D [search.user] -W -b [search_context] -z 100 cn mail sn
```
# Attempt to find the first 100 users whose [id_field] starts with the letter "t", returning the [id_field], "cn", "mail" and "sn" fields for each

ldapsearch -x -H [provider_url] -D [search.user] -W -b [search_context] -z 100 -s sub "([id_field]=t*)"

[id_field] cn mail sn

SSL Connection Errors: If you are using ldapsearch with an LDAPS connection (secure connection), you may receive "peer cert untrusted or revoked" errors if the LDAP SSL certificate is self-signed. You can temporarily tell LDAP to accept any security certificate by setting `TLS_REQCERT allow` in your ldapsearch's `ldap.conf` file. Be sure to remove this setting however after you are done testing!

# FOR TESTING ONLY! This setting disables the check for a valid LDAP Server security certificate, which is considered a security issue for production LDAP setups. Setting this to "allow" tells the LDAP client to accept any security certificates that it cannot verify or validate.

TLS_REQCERT allow

More information on this SSL workaround can be found at:


Enabling Hierarchical LDAP Authentication

Please note, that DSpace doesn't contain the LDAPHierarchicalAuthentication class anymore. This functionality is now supported by LDAPAuthentication, which uses the same configuration options.

If your users are spread out across a hierarchical tree on your LDAP server, you may wish to have DSpace search for the user name in your tree. Here's how it works:

1. DSpace gets the user name from the login form
2. DSpace binds to LDAP as an administrative user with right to search in DNs (LDAP may be configured to allow anonymous users to search)
3. DSpace searches for the user name as within DNs (username is a part of full DN)
4. DSpace binds with the found full DN and password from login form
5. DSpace logs user in if LDAP reports successful authentication; refuses login otherwise

Configuring Hierarchical LDAP Authentication

Hierarchical LDAP Authentication shares all the above standard LDAP configurations, but has some additional settings.

You can optionally specify the search scope. If anonymous access is not enabled on your LDAP server, you will need to specify the full DN and password of a user that is allowed to bind in order to search for the users.

<table>
<thead>
<tr>
<th>Configuration File:</th>
<th>[dspace]/config/modules/authentication-ldap.cfg</th>
</tr>
</thead>
</table>

| Property: | authentication-ldap.search_scope |
| Example Value: | authentication-ldap.search_scope = 2 |

<table>
<thead>
<tr>
<th>Informational Note:</th>
<th>This is the search scope value for the LDAP search during autoregistering (autoregister=true). This will depend on your LDAP server setup, and is only really necessary if your users are spread out across a hierarchical tree on your LDAP server. This value must be one of the following integers corresponding to the following values:</th>
</tr>
</thead>
<tbody>
<tr>
<td>object scope : 0</td>
<td>one level scope : 1</td>
</tr>
<tr>
<td>subtree scope : 2</td>
<td></td>
</tr>
</tbody>
</table>

Please note that "search_context" in the LDAP configurations must also be specified.

| Property: | authentication-ldap.search.anonymous |
| Example Value: | authentication-ldap.search.anonymous = true |

<table>
<thead>
<tr>
<th>Informational Note:</th>
<th>If true, DSpace will anonymously search LDAP (in the &quot;search_context&quot;) for the DN of the user trying to login to DSpace. This setting is &quot;false&quot; by default. By default, DSpace will either use &quot;search.user&quot; to authenticate for the LDAP search (if search.user is specified), or will use the &quot;object_context&quot; value to create the user's DN.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>authentication-ldap.search.user</td>
</tr>
<tr>
<td>Example Value:</td>
<td>authentication-ldap.search.user = cn=admin,ou=people,o=myu.edu</td>
</tr>
</tbody>
</table>

| Property: | authentication-ldap.search.password |
| Example Value: | authentication-ldap.search.password = password |
Informational Note: The full DN and password of a user allowed to connect to the LDAP server and search (in the "search_context") for the DN of the user trying to login. By default, if unspecified, DSpace will either search LDAP anonymously for the user's DN (when search.anonymous=true), or will use the "object_context" value to create the user's DN.

NOTE: As of DSpace 6, commas (,) are now a special character in the Configuration system. Therefore, be careful to escape any required commas in this configuration by adding a backslash (\) before each comma, e.g. "\

IP Authentication

Enabling IP Authentication

To enable IP Authentication, you must ensure the org.dspace.authenticate.IPAuthentication class is listed as one of the AuthenticationMethods in the following configuration:

<table>
<thead>
<tr>
<th>Configuration File:</th>
<th>[dspace]/config/modules/authentication.cfg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>plugin.sequence.org.dspace.authenticate.AuthenticationMethod</td>
</tr>
<tr>
<td>Example Value:</td>
<td>plugin.sequence.org.dspace.authenticate.AuthenticationMethod = org.dspace.authenticate.IPAuthentication</td>
</tr>
</tbody>
</table>

Configuring IP Authentication

Configuration File: [dspace]/config/modules/authentication-ip.cfg

Once enabled, you are then able to map DSpace groups to IP addresses in authentication-ip.cfg by setting ip.GROUPNAME = iprange[, iprange ...]. E.g:

```
authentication-ip.MY_UNIVERSITY = 10.1.2.3,  
13.5, 
11.3.4.5/24, 
12.7.8.9/255.255.128.0, 
2001:18e8::32  
```

Negative matches can be set by prepending the entry with a '-'. For example if you want to include all of a class B network except for users of a contained class c network, you could use: 111.222,-111.222.333.

Notes:

- If the Groupname contains blanks you must escape the spaces, e.g. "Department of Statistics"
- If your DSpace installation is hidden behind a web proxy, remember to set the configuration option within the 'Logging' section of dspace.cfg to use the IP address of the user rather than the IP address of the proxy server.

X.509 Certificate Authentication

Enabling X.509 Certificate Authentication

The X.509 authentication method uses an X.509 certificate sent by the client to establish his/her identity. It requires the client to have a personal Web certificate installed on their browser (or other client software) which is issued by a Certifying Authority (CA) recognized by the web server.

1. See the HTTPS installation instructions to configure your Web server. If you are using HTTPS with Tomcat, note that the <Connector> tag must include the attribute clientAuth="true" so the server requests a personal Web certificate from the client.
2. Add the org.dspace.authenticate.X509Authentication plugin first to the list of stackable authentication methods in the value of the configuration key plugin.sequence.org.dspace.authenticate.AuthenticationMethod

<table>
<thead>
<tr>
<th>Configuration File:</th>
<th>[dspace]/config/modules/authentication.cfg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Property:</td>
<td>plugin.sequence.org.dspace.authenticate.AuthenticationMethod</td>
</tr>
<tr>
<td>Example Value:</td>
<td>plugin.sequence.org.dspace.authenticate.AuthenticationMethod = org.dspace.authenticate.X509Authentication</td>
</tr>
</tbody>
</table>

```
Configuring X.509 Certificate Authentication

**Configuration File:**  
[dspace]/config/modules/authentication-x509.cfg

1. You must also configure DSpace with the same CA certificates as the web server, so it can accept and interpret the clients’ certificates. It can share the same keystore file as the web server, or a separate one, or a CA certificate in a file by itself. Configure it by one of these methods, either the Java keystore

   ```plaintext
   authentication-x509.keystore.path = path to Java keystore file  
   authentication-x509.keystore.password = password to access the keystore
   ```

   ...or the separate CA certificate file (in PEM or DER format):

   ```plaintext
   authentication-x509.ca.cert = path to certificate file for CA whose client certs to accept.
   ```

2. Choose whether to enable auto-registration: If you want users who authenticate successfully to be automatically registered as new E-Persons if they are not already, set the **autoregister** configuration property to **true**. This lets you automatically accept all users with valid personal certificates. The default is **false**.

   **TODO:** document the remaining authentication-x509.* properties

---

**Example of a Custom Authentication Method**

Also included in the source is an implementation of an authentication method used at MIT, **edu.mit.dspace.MITSpecialGroup**. This does not actually authenticate a user, it only adds the current user session to a special (dynamic) group called 'MIT Users' (which must be present in the system!). This allows us to create authorization policies for MIT users without having to manually maintain membership of the MIT users group.

By keeping this code in a separate method, we can customize the authentication process for MIT by simply adding it to the stack in the DSpace configuration. None of the code has to be touched.

You can create your own custom authentication method and add it to the stack. Use the most similar existing method as a model, e.g. **org.dspace.authenticate.PasswordAuthentication** for an "explicit" method (with credentials entered interactively) or **org.dspace.authenticate.X509Authentication** for an implicit method.