Configurable Entities

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Introduction

DSpace users have expressed the need for DSpace to be able to provide more support for different types of digital objects related to open access publications, such as authors/author profiles, data sets etc. Configurable Entities are designed to meet that need.

In DSpace, an Entity is a special type of Item which often has Relationships to other Entities. Breaking it down with more details...

- **Entity**: Every Entity is an Item.
  - This means they must belong to a Collection, just like a normal Item. (Community & Collection objects are unchanged and unaffected by Entities.)
  - Normal Items are still the "default" Item, and they are unchanged. So, not every Item is an Entity.
  - Because Entities are all Items, they are immediately usable in submission/workflow process, batch import/export, OAI-PMH, etc.

- **Entity (or Item) Type**: Entities all have a "dspace.entity.type" metadata field which defines their Entity/Item "type". For example, this type may be "Person", "Project", "Publication", "Journal", etc. It's highly visible within the User Interface as a label.

- **Relationships**: Based on that "type", an Entity may be related to other Entities via a Relationship. One Entity type may support several relationship types at once. Examples of relationship types include "isPersonOfProject" or "isPublicationOfAuthor". These relationship types are named based on the Entity "type" (as you can likely tell). Relationships also appear on Entities as metadata using the "relation" schema.

- **Virtual Metadata**: Entities of different types may also have customized visualizations in the User Interface. These visualizations may also dynamically pull in metadata from related Entities. For example, a Publication entity may be displayed in the User Interface with an author name dynamically pulled in from a related Person entity. The metadata "appears" as though it is part of the Entity you are viewing, but it is dynamically pulled via the Relationship.

Entities and their Relationships are also completely configurable. DSpace provides some sample models out of the box, which you can use directly or adapt as needed.

The Entity model also has similarities with the Portland Common Data Model (PCDM), with an Entity roughly mapping to a "pcdm:Object" and existing Communities and Collections roughly mapping to a "pcdm:Collection". However, at this time DSpace Entities concentrate more on building a graph structure of relationships, instead of a tree structure.

Default Entity Models

DSpace currently comes with the following Entity models, both of which are defined in [dspace]/config/entities/relationship-types.xml. These Entity models are not used by default, but may be enabled as described below.

Research Entities

Research Entities include Person, OrgUnit, Project and Publication. They allow you to create author profiles (Person) in DSpace, and relate those people to their department(s) (OrgUnit), grant project(s) (Project) and works (Publication).
Each publication can link to projects, people and org units
Each person can link to projects, publications and org units
Each project can link to publications, people and org units
Each org units can link to projects, people and publications

Journals

Journal Entities include Journal, Journal Volume, Journal Issue and Publication (article). They allow you to represent a Journal hierarchy more easily within DSpace, starting at the overall Journal, consisting of multiple Volumes, and each Volume containing multiple Issues. Issues then link to all articles (Publication) which were a part of that journal issue.

NOTE: that this model includes the same “Publication” entity as the Research Entities model described above. This Entity overlap allows you to link an article (Publication) both to its author (Person) as well as the Journal Issue it appeared in.

Enabling Entities

By default, Entities are not used in DSpace. But, as described above several models are available out-of-the-box that may be optionally enabled.

1. Configure your entity model (optionally)

As described above, DSpace provides two default entity models defined in [dspace]/config/entities/relationship-types.xml. These models may be used as-is, or modified.

You can also design your own model from scratch (see "Designing your own model" section below). So, feel free to start by modifying relationship-types.xml, or creating your own model based on the relationship-types.dtd.

2. Import entity model into the database

In order to enable a defined entity model, it MUST be imported into the DSpace database. This is achieved by using the "initialize-entities" script. The example below will import the "out-of-the-box" entity models into your DSpace installation

```
# The -f command requires a full path to an Entities model configuration file.
[dspace]/bin/dspace initialize-entities -f [dspace]/config/entities/relationship-types.xml
```

If an Entity (of same type name) already exists, it will be updated with any new relationships defined in relationship-types.xml

If an Entity (of same type name) doesn't exist, the new Entity type will be created along with its relationships defined in relationship-types.xml

Keep in mind, there are a few DSpace import/export features that do not yet support Entities in DSpace 7.0. These will be coming in future 7.x releases. See DSpace Release 7.0 Status for prioritization information, etc.

- **AIP Backup and Restore** does not fully support entity types or relationships. In other words, Entities are only represented as normal Items in AIPs
- **Importing and Exporting Items via Simple Archive Format** does not fully support entity types or relationships. In other words, Entities are only represented as normal Items in SAF. (Note: early work to bring this support is already begun in https://github.com/DSpace/DSpace/pull/3322)
- **SWORDv1 Server** and **SWORDv2 Server** does not yet support Entity or relationship creation.
Once imported into the Database, the overall structure is as follows:

- All valid Entity Types are stored in the "entity_type" database table.
- All relationship type definitions are stored in the "relationship_type" database table.
- All relationships between entities get stored in the "relationship" table.
- Entities themselves are stored alongside Items in the 'item' table. Every Entity must have a "dspace.entity.type" metadata field whose value is a valid Entity Type (from the "entity_type" table).

Keep in mind, your currently enabled Entity model is defined in your database, and NOT in the "relationship-types.xml". Anytime you want to update your data model, you'd update/create a configuration (like relationship-types.xml) and re-run the "initialize-entities" command.

3. Configure Collections for each Entity type

Because all Entities are Items, they MUST belong to a Collection. Therefore, the recommended way to create a different submission forms per Entity type (e.g. Person, Project, Journal, Publication) is to ensure you create a Collection for each Entity Type (as each Collection can have a custom Submission Form).

1. Create at least one Collection for each Entity Type needing a custom Submission form. For example, a Collection for "Person" entities, and a separate one for "Publication" entities.
2. Edit the Collection. On the "Edit Metadata" page, use the "Entity Type" dropdown to select the Entity Type for this Collection.
   a. This "Entity Type" selection will ensure that every Item submitted to this collection is automatically assigned that Entity type. So, it ties this Collection to that type of Entity (i.e. no other type of Entity can be submitted to this Collection).
   b. NOTE: Entity Type is currently not modifiable after being set. This is because changing the Entity type may result in odd behavior (or errors) with in-progress submissions (as they will continue to use the old Entity Type). If you really need to modify the Entity Type, you can do so by changing the "dspace.entity.type" metadata value on the Collection object. At this time, changing that metadata field would need to be done at the database level.
3. In the Edit Collection page, switch to the "Assign Roles" tab and create a "Submitters" group. Add any people who should be allowed to submit/create this new Entity type.
4. If you want to hide this Collection, you can choose to only make it visible to that same Submitters group (or Administrators). This does NOT hide the Entities from search or browse, but it will hide the Collection itself.
   a. In the Edit Collection page, switch to the "Authorizations" tab.
   b. Add a new Authorization of TYPE_CUSTOM, restricting "READ" to the Submitters group created above (or Administrators if there is no Submitters group). You can also add multiple READ policies as needed. WARNING: The Submitters group MUST have READ privileges to be able to submit/create new Entities.
   c. Remove the default READ policy giving Anonymous permissions.
   d. Assuming you want the Entities to still be publicly available, make sure the DEFAULT_ITEM_READ policy is set to "Anonymous"!

Obviously, how you organize your Entity Types into Collections is up to you. You can create a single Collection for all Entities of that type (e.g. an "Author Profiles" collection could be where all "Person" Entities are submitted/stored). Or, you could create many Collections for each Entity Type (e.g. each Department in your University may have it's own Community, and underneath have a "Staff Profiles" Collection where all "Person" Entities for that department are submitted/stored). A few example structures are shown below.

**Example Structure based on the departments:**

- Department of Architecture
  - Building Technology Program
  - Theses - Department of Architecture
- Department of Biology
  - Theses - Biology
- People
- Projects

**OR**

- Department of Architecture
  - Building Technology Program
  - Theses - Department of Architecture
  - People in Department of Architecture
  - Projects in Department of Architecture
- Department of Biology
  - Theses - Biology
  - People in Department of Biology
  - Projects in Department of Biology

**Example Structure based on the publication type:**

- Books
  - Book Chapter
  - Edited Volume
  - Monograph
- Theses
4. Configure Submission Forms for each Entity type

You should have already created Entity-specific Collections in the previous step. Now, we just need to map those Collections to Submission processes specific to each Entity.

On the backend, you will now need to modify the [dspace]/config/item-submission.xml to "map" this Collection (or Collections) to the submission process for this Entity type.

- DSpace comes with sample submission forms for each Entity type.
  - The sample <submission-process> is defined in item-submission.xml and named based on the Entity type (e.g. Publication, Person, Project, etc).
  - The metadata fields captured for each Entity are defined in a custom step in submission-forms.xml, and named in the format "[entityType]Step" (where the entity type is camelize). For example: "publicationStep", "personStep", "projectStep".
- Optionally, modify those sample submission forms. See Submission User Interface for hints/tips on customizing the item-submission.xml of submission-forms.xml files.
- Now, in item-submission.xml, map your Collection's handle (findable on the Collection homepage) to the submission form you want it to use. In the below example, we've mapped a single Collection to each of the out-of-the-box Entity types.

```xml
<name-map collection-handle="123456789/5" submission-name="Publication"/>
<name-map collection-handle="123456789/6" submission-name="Person"/>
<name-map collection-handle="123456789/7" submission-name="Project"/>
<name-map collection-handle="123456789/8" submission-name="OrgUnit"/>
<name-map collection-handle="123456789/28" submission-name="Journal"/>
<name-map collection-handle="123456789/29" submission-name="JournalVolume"/>
<name-map collection-handle="123456789/30" submission-name="JournalIssue"/>
```

Once your modifications to the submission process are complete, you will need to quickly reboot Tomcat (or your servlet container) to reload the current settings.

5. Configure Workflow for each Entity type (optionally)

The DSpace workflow can be used for reviewing all objects in the Object Model since these objects are all Items, and separate collections can be used. The workflow used for e.g. a Person Object can be configured to be identical to a publication, different from a publication, or use no workflow at all.

See Configurable Workflow for more information on configuring workflows per Collection.

6. Configure Virtual Metadata to display for related Entities (optionally)

"Virtual Metadata" is metadata that is dynamically determined (at the time of access) based on an Entity's relationship to other Entities. A basic example is displaying a Person Entity's name in the "dc.contributor.author" field of a related Publication Entity. That "dc.contributor.author" field doesn't actually exist on the Publication, but is dynamically added as "virtual metadata" simply because the Publication is linked to the Person (via a relationship).

Virtual Metadata is configurable for all Entities and all relationships. DSpace comes with default settings for its default Entity model, and those can be found in [dspace]/config/spring/api/virtual-metadata.xml. In that Spring Bean configuration file, you'll find a map of each relationship type to a metadata field & its value. Here's a summary of how it works:

- The "org.dspace.content.virtual.VirtualMetadataPopulator" bean maps every Relationship type (from relationship-types.xml) to a <util:map> definition (of a given ID) also in the virtual-metadata.xml.

```xml
<!-- For example, the isAuthorOfPublication relationship is linked to a map of ID "isAuthorOfPublicationMap" -->
<entry key="isAuthorOfPublication" value-ref="isAuthorOfPublicationMap"/>
```

- That <util:map> definition defines which DSpace metadata field will store the virtual metadata. It also links to the bean which will dynamically define the value of this metadata field.

```xml
<!-- In this example, isAuthorOfPublication will be displayed in the "dc.contributor.author" field -->
<!-- The "value" of that field will be defined by the "publicationAuthor_author" bean -->
<util:map id="isAuthorOfPublicationMap">
  <entry key="dc.contributor.author" value-ref="publicationAuthor_author"/>
</util:map>
```
A bean of that ID then defines the value of the field, based on the related Entity. In this example, these fields are pulled from the related Person entity and concatenated. If the Person has "person.familyName=Jones" and "person.givenName=Jane", then the value of "dc.contributor.author" on the related Publication will be dynamically set to "Jones, Jane.

```xml
<bean class="org.dspace.content.virtual.Concatenate" id="publicationAuthor_author">
  <property name="fields">
    <util:list>
      <value>person.familyName</value>
      <value>person.givenName</value>
      <value>organization.legalName</value>
    </util:list>
  </property>
  <property name="separator">
    <value>, </value>
  </property>
  <property name="useForPlace" value="true"/>
  <property name="populateWithNameVariant" value="true"/>
</bean>
```

If the default Virtual Metadata looks good to you, no changes are needed. If you make any changes, be sure to restart Tomcat to update the bean definitions.

### Designing your own Entity model

When using a different entities model, the new model has to be configured and loaded into your repository.

#### Thinking about the object model

First step: identify the entity types

- Which types of objects would you want to create items for: e.g. Person, Publication, JournalVolume
- Be careful not to confuse a type with a relationship. A Person is a type, an author is a relationship between the publication and the person

Second step: identify the relationship types

- Which relationship types would you want to create between the entity items from the previous step: e.g. isAuthorOfPublication, isEditorOfPublication, isProjectOfPublication, isOrgUnitOfPerson, isJournalIssueOfPublication
- Multiple relationships between the same 2 types can be created: isAuthorOfPublication, isEditorOfPublication
- Relationships are automatically bidirectional, so no need to worry about whether you want to display the authors in a publication or the publications of an author

Third step: visualize your model

- By creating a drawing of your model, you'll be able to quickly verify whether anything is missing

#### Configuring the object model

Configure the model in relationship-types.xml

- Similar to the default relationship-types.xml, configure a relationship type per connection between 2 entity types
Configuring the metadata fields

Determining the metadata fields to use

- Dublin Core works for publications, but not for a Person, JournalVolume, ...
- There are many standards which can be easily configured: schema.org, eurocris, datacite, ...
- Pick a schema which suits your needs

Configure the submission forms

- Add a form in submission-forms.xml for each entity type, containing the relevant metadata fields
- See also Submission User Interface documentation.
- Configure which relationships to create

Configuring the item display pages

- The metadata configuration is not specific to configurable entities.
- Similar to other customizations to the item display pages, configure in Angular which metadata fields to display and their label. A template per entity type can be created
- The relationship display is similar to the metadata configuration
- Similar to the metadata configuration: configure in Angular which relationship to display and their label

Configuring virtual metadata

- The isAuthorOfPublication relationship can be displayed for the Publication item as dc.contributor.author
- The isOrgUnitOfPerson relationship can be displayed for the Person item as organization.legalName
- This can be configured in virtual-metadata.xml

Configuring discovery

- Configure the discovery facets, filters, sort options, ...
  - The facets for a Person can be job title, organization, project, ...
  - The filters for a Person can be person.familyName, person.givenName, ...

Additional Technical Details

The original Entities design document is available in Google Docs at: https://docs.google.com/document/d/1wEmHirFzrY3gglGfr2YBQwG0vH1fuTVGmxDldtnqwxM/edit

We are working on pulling that information into this Wiki space as a final home, but currently some technical details exist only in that document.

A talk on Configurable Entities was also presented at DSpace 7 at OR2021